



### Virus2Vec: Viral Sequence Classification Using Machine Learning



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#### Onclusion and Future Work

Sequence data analysis :

- Studies of Alterations in the protein sequence to classify and predict amino acid changes in SARS-CoV-2 are crucial in
  - Understanding the immune invasion and host-to-host transmission properties of SARS-CoV-2 and its variants
  - Identifying transmission patterns of each variant may help policymakers to prevent the rapid spread
  - May help in vaccine design and efficacy
- Unravel the mysteries of genetic info & its functional implications
- Phylogenetic tree construction-based methods a Traditional way to trace evolution.
- Later Machine Learning and Deep Learning played major role.

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#### Motivation

- In-depth studies of alterations in the protein sequence to classify and predict amino acid changes in SARS-CoV-2 are crucial in
  - Understanding the immune invasion and host-to-host transmission properties of SARS-CoV-2 and its variants
  - Knowledge of mutations and variants will help identify transmission patterns facilitate public health measures
  - This will also help in vaccine design and efficacy
- Understanding biological sequence classification can unravel the mysteries of genetic information and its functional implications.
- Improve performance and reduce computational cost.
- Insights into the evolutionary relationships between organisms, helping us understand the origins and diversity of life on Earth.
- Advancements in personalized medicine, identifying genetic variants associated with diseases and predict patient responses to treatments.

- Genomic surveillance: Tracking the spread of pathogens in terms of genomic content
- Real time identification of new and rapidly emerging coronavirus variants
- Track the spread of known coronavirus variants in new municipalities, regions, countries and continents



- Mutations happen disproportionately in different regions of genome
- Since new variants (for coronavirus) are emerging, not much information is available about these variants
- Generating fixed-length feature vectors from variable-length sequences
- High dimensionality of generated embeddings (e.g. OHE)
- Challenges:
  - The computation time
  - The memory usage (storing an n x n matrix)
  - The usage of kernel matrices limited to kernel-based ML methods (difficult to generalize on non-kernel classifiers)

#### Kernel Method

- A method that allows us to apply linear classifiers to non-linear problems by mapping non-linear data into a higher-dimensional space
- Kernel-based methods (e.g., SVM) are proven useful for several machine learning (ML) tasks such as sequence classification
- There are three challenges involved with kernel methods in general:
  - Kernel computation (requires exponential complexity to compute dot product)
  - scalability (storing n x n matrix in memory is not possible when n, the number of data points, is too large)
  - The usage of kernel matrices limited to kernel-based ML methods (difficult to generalize on non-kernel classifiers)
- The computational complexity problem can be solved using approximate methods
- The scalability issue remains for the typical kernel methods in general
- For non-kernel classifiers, we can use kernel PCA (could result in loss of information or computationally expensive)

- Virus2Vec is a compact alignment-free embedding approach
- Eliminates the need for the sequence alignment
- Uses a fraction of the information as compared to a more traditional *k*-mers-based approach.
- Optimizes and reduces efforts in counting *k*-mers, which can be an expensive and redundant task.
- The process involves :
  - Compute minimizer using sliding window on *k*-mer
  - The lexicographically smallest is selected as the minimizer for that k-mer
  - For each minimizer, we compute a weight using the "Position Weight Matrix" (PWM) method.
  - We use the score of each *m*-mer (computed using the PWM-based approach) to the corresponding bin to get the final feature vector representation.

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#### Feature Vector Representation

- To convert the sequences into fixed-length numerical representations, we use a recently proposed method called Spike2Vec [1].
- Spike2Vec generates a fixed-length numerical representation using the concept of *k*-mers (also called n-gram) for a sequence.



- Uses sliding window to generate k-mers of length k (window size).
- For a set of k-mers in a sequence, the feature vector of length |Σ|<sup>k</sup> (Σ is the set of alphabets "amino acids" or nucleotides), is generated using their count.

- To compute the minimizer, a sliding window is again used but this time on *k*-mer in both directions (forward and reverse).
- Lexicographically smallest is selected as the minimizer for that *k*-mer.
- Minimizers ignore many amino acids in each *k*-mer, only preserving a fraction of the *m*-mers, for which binning of these *m*-mers becomes much more efficient.
- For each minimizer, we compute a weight using the "Position Weight Matrix" (PWM) method (Explained Later).



- After computing the Minimizers, a Position Frequency Matrix (PFM) is generated which contains the frequency count for each character at each position.
- We have 20 unique amino acids in the spike protein sequence dataset, our PFMs have 20 rows and m = 3 columns
- Whereas for rabies data we have 4 unique nucleotide; our PFMs have 4 rows, and *m* = 3 columns.

- Normalize the PFM matrix to create a Position Probability Matrix (PPM) containing the probability of each amino acid at each position
- A position weight matrix (PWM) is then computed from the adjusted probability matrix, by computing the log-likelihood of each amino acid character c, i.e., c ∈ A, C, ..., Y for spike sequences or c ∈ A, C, G, T for rabies virus sequences.
- PWM is used to compute the absolute scores for each individual minimizer generated from the sequence. It is the sum of the score of bases for the index.
- After getting the score for each *m*-mer, we generate a vector of length  $|\Sigma|^m$ . Using the score of each *m*-mer (computed using the PWM-based approach) to the corresponding bin to get the final feature vector representation.

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#### Dataset

- Spike Sequence from the SARS-CoV-2 virus
- Rabies sequences data

| Name                     | Type  | Source          | Sequence Classes |    | Sequence Length |       |        |      |  |
|--------------------------|---|-----------------|------------------|----|-----------------|-------|--------|------|--|
|                          | .,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,                     |                 | Count            |    | Min             | Max   | Avg    | Mode |  |
| Coronavirus<br>Host Data | Spike protein<br>sequences for<br>COVID-19 hosts            | GISAID,<br>ViPR | 5558             | 22 | 9               | 1584  | 1272.4 | 1273 |  |
| Rabies<br>Virus<br>Data  | Nucleotide<br>genome sequences<br>for rabies virus<br>hosts | RABV-GLUE       | 20051            | 12 | 90              | 11930 | 1948.4 | 1353 |  |

Table: Data Statistics.

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#### Dataset - Spike Sequences Structure



- The SARS-CoV-2 genome, of roughly 30K bps in length
- The structural protein further consists of the spike (or S) protein along with Envelope (E), Membrane (M) and Nucleocapsid (N) proteins.



• The rabies genome is 12kb in length and encodes five proteins Nucleoprotein (N), Phosphoprotein (P), Matrix Protein (M), Glycoprotein (G), and Polymerase (L).

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#### Properties of Different Embedding Methods

| Embedding        | Alignment<br>Free | Low Dim<br>Vectors | Vector   Space Ef-<br>(Spike/Rabies)ficient |   | Runtime Ef-<br>ficient | Details  |
|------------------|-------------------|--------------------|---|---|------------------------|--|
| One-Hot Encoding | X                 | ×                  | 69960 /<br>5600                             | × | ×                      | length of OHE for a spike se-<br>quence 3498         |
| Spike2Vec        | 1                 | 1                  | 8000 / 125                                  | 1 | ×                      | $\Sigma = 20$ and $k = 3$ (for Spike data)           |
| Approx. Kernel   | 1                 | 1                  | 500 / 500                                   | × | ×                      | Dimensionality depends on<br>Num of sequences        |
| PWM2Vec          | ×                 | 1                  | 3490 / 125                                  | 1 | 1                      | Length of Spike Seq after alignment 3498 and $k = 9$ |
| LSTM             | 1                 | -                  | -   | × | X                      |  |
| GRU              | 1                 | -                  | -   | × | X                      | End-to-End DL architectures                          |
| CNN              | 1                 | -                  | -   | × | X                      | -<br>  |
| ProteinBert      | 1                 | -                  | -   | X | X                      | Pretrained Protein language model using Transformer  |
| MFV              | 1                 | 1                  | 8000 / 125                                  | 1 | 1                      | k = 9 and $m = 3$                                    |
| Virus2Vec (ours) | 1                 | 1                  | 8000 / 125                                  | 1 | 1                      | Proposed method $m = 3$                              |

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- t-SNE plots for Coronavirus Host dataset.
- In all of them Environment & Human displays unambiguous grouping.
- Virus2Vec is able to preserve the structure of data in the same way as with the other existing embedding methods.

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- t-SNE plots for Rabiesn Virus dataset.
- Virus2Vec does not disturb the structure and even provides better clusters as compared to baseline embeddings.

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#### Results

|             |            |        |         | Ho       | st Spike Se        | quences            |              |                          |        |         |          | Rabies Vi          | rus                |              |                          |
|-------------|------------|--------|---------|----------|--------------------|--------------------|--------------|--------------------------|--------|---------|----------|--------------------|--------------------|--------------|--------------------------|
| Method      | Classifier | Acc. † | Prec. ↑ | Recall † | F1<br>(Weig.)<br>↑ | F1<br>(Macro)<br>↑ | ROC<br>AUC ↑ | Train<br>Time<br>(sec.)↓ | Acc. † | Prec. † | Recall ↑ | F1<br>(Weig.)<br>↑ | F1<br>(Macro)<br>↑ | ROC<br>AUC ↑ | Train<br>Time<br>(sec.)↓ |
|             | SVM        | 0.84   | 0.84    | 0.84     | 0.83               | 0.77               | 0.87         | 45.36                    | 0.72   | 0.70    | 0.72     | 0.69               | 0.58               | 0.76         | 22.76                    |
|             | NB         | 0.69   | 0.77    | 0.69     | 0.67               | 0.58               | 0.79         | 6.02                     | 0.06   | 0.29    | 0.06     | 0.03               | 0.03               | 0.52         | 0.40                     |
|             | MLP        | 0.81   | 0.83    | 0.81     | 0.81               | 0.63               | 0.83         | 46.14                    | 0.58   | 0.45    | 0.58     | 0.48               | 0.23               | 0.60         | 1.46                     |
| Spike2Vec   | KNN        | 0.80   | 0.81    | 0.80     | 0.79               | 0.59               | 0.79         | 1.97                     | 0.75   | 0.73    | 0.75     | 0.74               | 0.62               | 0.79         | 1.07                     |
|             | RF         | 0.84   | 0.85    | 0.84     | 0.84               | 0.73               | 0.85         | 10.21                    | 0.78   | 0.76    | 0.78     | 0.76               | 0.67               | 0.81         | 0.88                     |
|             | DT         | 0.84   | 0.85    | 0.84     | 0.84               | 0.76               | 0.87         | 31.00                    | 0.71   | 0.67    | 0.71     | 0.67               | 0.55               | 0.75         | 1.14                     |
|             | 6144       | 0.02   | 0.03    | 0.04     | 0.02               | 0.74               | 0.00         | 10.10                    | 0.00   | 0.00    | 0.00     | 0.00               | 0.57               | 0.77         | 244.02                   |
|             | ND         | 0.60   | 0.66    | 0.79     | 0.57               | 0.57               | 0.78         | 0.07                     | 0.73   | 0.72    | 0.14     | 0.12               | 0.30               | 0.76         | 244.02                   |
|             | MID        | 0.70   | 0.79    | 0.00     | 0.79               | 0.54               | 0.75         | 7.60                     | 0.77   | 0.77    | 0.77     | 0.76               | 0.62               | 0.70         | 110.56                   |
| Арргах.     | KNN        | 0.86   | 0.85    | 0.86     | 0.86               | 0.60               | 0.76         | 0.21                     | 0.83   | 0.82    | 0.83     | 0.82               | 0.69               | 0.83         | 5.57                     |
| Kernel      | RE         | 0.82   | 0.82    | 0.82     | 0.81               | 0.67               | 0.78         | 1.80                     | 0.83   | 0.83    | 0.83     | 0.82               | 0.71               | 0.83         | 22.17                    |
|             | LR         | 0.76   | 0.77    | 0.76     | 0.74               | 0.64               | 0.76         | 2.36                     | 0.66   | 0.64    | 0.66     | 0.64               | 0.55               | 0.73         | 80.32                    |
|             | DT         | 0.78   | 0.78    | 0.78     | 0.77               | 0.55               | 0.75         | 0.24                     | 0.76   | 0.76    | 0.76     | 0.76               | 0.65               | 0.80         | 4.44                     |
| News        | LSTM       | 0.32   | 0.10    | 0.32     | 0.15               | 0.02               | 0.50         | 21634.34                 | 0.49   | 0.38    | 0.49     | 0.36               | 0.15               | 0.49         | 35026.49                 |
| Network     | CNN        | 0.44   | 0.10    | 0.11     | 0.08               | 0.07               | 0.53         | 17856.40                 | 0.73   | 0.74    | 0.73     | 0.72               | 0.64               | 0.80         | 8164.93                  |
| THE WORK    | GRU        | 0.32   | 0.13    | 0.32     | 0.16               | 0.03               | 0.50         | 126585.0                 | 0.59   | 0.54    | 0.59     | 0.51               | 0.28               | 0.60         | 16180.78                 |
|             | SVM        | 0.81   | 0.82    | 0.81     | 0.81               | 0.89               | 0.92         | 3.12                     | 0.80   | 0.80    | 0.80     | 0.79               | 0.67               | 0.81         | 140.67                   |
|             | NB         | 0.66   | 0.69    | 0.66     | 0.66               | 0.61               | 0.78         | 0.03                     | 0.28   | 0.56    | 0.28     | 0.27               | 0.35               | 0.69         | 0.11                     |
| Spaced      | MLP        | 0.82   | 0.82    | 0.82     | 0.82               | 0.77               | 0.87         | 41.66                    | 0.79   | 0.78    | 0.79     | 0.79               | 0.66               | 0.81         | 84.70                    |
| k-mer       | KNN        | 0.79   | 0.80    | 0.79     | 0.80               | 0.77               | 0.87         | 0.40                     | 0.83   | 0.82    | 0.83     | 0.82               | 0.71               | 0.84         | 2.54                     |
|             | I D        | 0.84   | 0.85    | 0.84     | 0.84               | 0.91               | 0.94         | 2.84                     | 0.84   | 0.84    | 0.84     | 0.83               | 0.72               | 0.84         | 24.28                    |
|             | DT         | 0.80   | 0.80    | 0.80     | 0.82               | 0.85               | 0.93         | 0.64                     | 0.76   | 0.76    | 0.76     | 0.76               | 0.65               | 0.81         | 6.36                     |
| Protein     |            | 0.79   | 0.80    | 0.79     | 0.78               | 0.71               | 0.84         | 15742.95                 | 0.79   | 0.78    | 0.79     | 0.76               | 0.64               | 0.80         | 35742.84                 |
| Bert        | SVM        | 0.83   | 0.83    | 0.83     | 0.82               | 0.73               | 0.85         | 35.71                    | 0.66   | 0.61    | 0.66     | 0.61               | 0.48               | 0.71         | 241.11                   |
|             | NB         | 0.63   | 0.75    | 0.63     | 0.63               | 0.49               | 0.72         | 5.80                     | 0.06   | 0.34    | 0.06     | 0.05               | 0.08               | 0.54         | 0.41                     |
|             | MLP        | 0.82   | 0.82    | 0.82     | 0.82               | 0.66               | 0.81         | 53.82                    | 0.61   | 0.54    | 0.61     | 0.56               | 0.33               | 0.65         | 2.17                     |
| MEV         | KNN        | 0.79   | 0.80    | 0.79     | 0.78               | 0.63               | 0.81         | 1.60                     | 0.74   | 0.72    | 0.74     | 0.72               | 0.61               | 0.79         | 1.12                     |
|             | RF         | 0.84   | 0.85    | 0.84     | 0.84               | 0.74               | 0.85         | 10.79                    | 0.78   | 0.77    | 0.78     | 0.76               | 0.66               | 0.80         | 0.81                     |
|             | LR         | 0.83   | 0.84    | 0.83     | 0.83               | 0.74               | 0.85         | 9.24                     | 0.59   | 0.55    | 0.59     | 0.54               | 0.36               | 0.64         | 0.70                     |
|             | DI         | 0.83   | 0.83    | 0.83     | 0.82               | 0.74               | 0.85         | 1.15                     | 0.69   | 0.08    | 0.69     | 0.09               | 0.58               | 0.77         | 0.19                     |
|             | SVM        | 0.81   | 0.82    | 0.81     | 0.80               | 0.80               | 0.90         | 3.46                     | 0.48   | 0.28    | 0.48     | 0.33               | 0.08               | 0.48         | 1.10                     |
|             | NB         | 0.58   | 0.00    | 0.58     | 0.57               | 0.53               | 0.78         | 0.25                     | 0.27   | 0.32    | 0.27     | 0.20               | 0.10               | 0.27         | 0.18                     |
| DSMM2V/cc   | KNN        | 0.82   | 0.82    | 0.82     | 0.80               | 0.72               | 0.87         | 8.44                     | 0.57   | 0.50    | 0.57     | 0.50               | 0.53               | 0.64         | 2.33                     |
| 1 JIIII LUC | DE         | 0.95   | 0.05    | 0.01     | 0.94               | 0.92               | 0.01         | 1.26                     | 0.66   | 0.65    | 0.66     | 0.65               | 0.52               | 0.66         | 0.70                     |
|             | LR         | 0.79   | 0.80    | 0.79     | 0.77               | 0.70               | 0.84         | 1.45                     | 0.48   | 0.31    | 0.48     | 0.34               | 0.10               | 0.48         | 1.41                     |
|             | DT         | 0.80   | 0.81    | 0.80     | 0.80               | 0.73               | 0.88         | 0.23                     | 0.58   | 0.59    | 0.58     | 0.58               | 0.47               | 0.58         | 0.17                     |
|             | SVM        | 0.85   | 0.86    | 0.85     | 0.85               | 0.87               | 0.932        | 151.5                    | 0.66   | 0.62    | 0.66     | 0.62               | 0.50               | 0.72         | 15931.90                 |
|             | NB         | 0.67   | 0.78    | 0.67     | 0.65               | 0.65               | 0.83         | 5.67                     | 0.07   | 0.34    | 0.07     | 0.05               | 0.10               | 0.55         | 0.17                     |
|             | MLP        | 0.85   | 0.85    | 0.85     | 0.84               | 0.79               | 0.90         | 47.30                    | 0.71   | 0.69    | 0.71     | 0.68               | 0.56               | 0.75         | 11.76                    |
| Virus2Vec   | KNN        | 0.84   | 0.85    | 0.84     | 0.83               | 0.76               | 0.88         | 78.79                    | 0.71   | 0.73    | 0.74     | 0.71               | 0.59               | 0.78         | 8.54                     |
|             | RF         | 0.86   | 0.86    | 0.86     | 0.85               | 0.84               | 0.91         | 13.36                    | 0.84   | 0.83    | 0.84     | 0.83               | 0.74               | 0.85         | 3.13                     |
|             | DT         | 0.87   | 0.87    | 0.87     | 0.87               | 0.88               | 0.93         | 2.40                     | 0.59   | 0.54    | 0.59     | 0.53               | 0.54               | 0.03         | 13.94                    |
|             | - M 1      | 20.00  | - M.M.A | 20.204   | N.M.               | N. 13              | 24.940       |                          | - M-1  |         | N.1.1    | M-2 5              | 2000               | - M.M.A      | - Marchard               |

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- Virus2Vec outperforms the SOTA methods
- The runtime to generate the embeddings makes it a huge factor in considering Virus2Vec over other embeddings.
- Virus2Vec outperforms not only the feature engineering-based baselines but also the neural network-based classifiers.
- The findings are reinforced by its visualization counterpart as well, as we saw in t-SNE plots also for Virus2Vec, it does not disrupt the general structure of the data because t-SNE is able to retain the structure of the data.

| Method         | Coronavirus data Runtime $\downarrow$ | Rabies virus data Runtime $\downarrow$ |
|----------------|---------------------------------------|--|
| OHE            | 196.31 Sec.                           | 44.17 Sec.                             |
| Spike2Vec      | 1179.66 Sec.                          | 259.86 Sec.                            |
| PWM2Vec        | 1506.63 Sec.                          | 412.254 Sec.                           |
| Approx. Kernel | 379.47 Sec.                           | 179.47 Sec.                            |
| Virus2Vec      | 90.65 Sec.                            | 105.78 Sec.                            |
|                |                                       |  |

Table: Runtime for generating feature vectors using different embedding methods for Coronavirus-Host data and Rabies Virus-Host dataset.

- Virus2Vec takes the least time to generate embeddings
- It takes 4 times less as compared to the Approximate Kernel method and 15 times less than PWM2Vec, which are comparable when accuracy is considered.

- We propose an efficient sequence embedding approach Virus2Vec
- Uses an alignment-free method based on minimizers and PWM to classify genomic sequences.
- Virus2Vec not only performs better but is also an alignment-free approach.
- We show Virus2Vec comparable predictive performance and better runtimes.

#### Future Work

- Try on larger data to evaluate the scalability of Virus2Vec.
- Such an approach could also work even on *unassembled* (short read) data (not just unaligned), in a similar way that it works for metagenomics.

# Thank You

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## Questions!!

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S. Ali and M. Patterson, "Spike2vec: An efficient and scalable embedding approach for covid-19 spike sequences," in *IEEE International Conference on Big Data*, 2021, pp. 1533–1540.

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